

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Uniphos Enterprises Limited
2.	Scrip Code/Name of Scrip/Class of Security: BSE- 500429, NSE SYMBOL - UNIENTER / Equity
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending 31st March, 2023
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :03/04/2023 NSDL : 31/03/2023 CDSL :31/03/2023

Uniphos Enterprises Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	5	51306595	0	0	51306595	73.7764	51306595	0	51306595	73.7738	0	73.7764	0	0	0	0	51306595
(B)	Public	11975	18236754	0	0	18236754	26.2236	18236754	0	18236754	26.2226	0	26.2236	0	0	NA	NA	17834447
(C)	Non Promoter - Non Public	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C1)	Shares Underlying DRs	2	0	0	2500	2500	0.0000	2500	0	2500	0.0036	0	0.0000	0	0	NA	NA	1700
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0
	Total	11982	69543349	0	2500	69545849	100.0000	69545849	0	69545849	100.0000	0	100.0000	0	0	0	0	69142742

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Uniphos Enterprises Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		2	330423	0	0	330423	0.4751	330423	0	330423	0.4751	0	0.4751	0	0	0	0	330423
	Shilpa P Sagar	Promoter Group	1	329123	0	0	329123	0.4733	329123	0	329123	0.4732	0	0.4733	0	0	0	0	329123
	Varun Jaidev Shroff	Promoter Group	1	1300	0	0	1300	0.0019	1300	0	1300	0.0019	0	0.0019	0	0	0	0	1300
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(d)	Any Other (Specify)		2	50975672	0	0	50975672	73.3006	50975672	0	50975672	73.2979	0	73.3006	0	0	0	0	50975672
	Bodies Corporate		2	50975672	0	0	50975672	73.3006	50975672	0	50975672	73.2979	0	73.3006	0	0	0	0	50975672
	Nerka Chemicals Pvt Ltd	Promoter	1	50966612	0	0	50966612	73.2875	50966612	0	50966612	73.2849	0	73.2875	0	0	0	0	50966612
	R Shroff Consultants Private Limited	Promoter Group	1	9060	0	0	9060	0.0130	9060	0	9060	0.0130	0	0.0130	0	0	0	0	9060
	Sub Total (A)(1)		4	51306095	0	0	51306095	73.7757	51306095	0	51306095	73.7731	0	73.7757	0	0	0	0	51306095
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
	Tania Jaidev Shroff	Promoter Group	1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0	0	0
	Sub Total (A)(2)		1	500	0	0	500	0.0007	500	0	500	0.0007	0	0.0007	0	0	0	0	500
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		5	51306595	0	0	51306595	73.7764	51306595	0	51306595	73.7738	0	73.7764	0	0	0	0	51306595

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(D)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	1	360	0	0	360	0.0005	360	0	360	0.0005	0	0.0005	0	0	NA	NA	360	0	0	0
(E)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0			
(f)	Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0			
(g)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.	11164	4813765	0	0	4813765	6.9220	4813765	0	4813765	6.9217	0	6.9220	0	0	NA	NA	4526240	0	0	0
(h)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	3	1466711	0	0	1466711	2.1091	1466711	0	1466711	2.1090	0	2.1091	0	0	NA	NA	1466711	0	0	0
	Gaurav N Lodha		929213	0	0	929213	1.3362	929213	0	929213	1.3361	0	1.3362	0	0	NA	NA	929213			
(i)	Non Resident Indians (NRIs)	455	321222	0	0	321222	0.4619	321222	0	321222	0.4619	0	0.4619	0	0	NA	NA	207290	0	0	0
(j)	Foreign Nationals	1	62	0	0	62	0.0001	62	0	62	0.0001	0	0.0001	0	0	NA	NA	62	0	0	0
(k)	Foreign Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	NA	NA	0			
(l)	Bodies Corporate	90	334163	0	0	334163	0.4805	334163	0	334163	0.4805	0	0.4805	0	0	NA	NA	334163	0	0	0
(m)	Any Other (Specify)	230	421374	0	0	421374	0.6059	421374	0	421374	0.6059	0	0.6059	0	0	NA	NA	421374	0	0	0
	Trusts	2	18120	0	0	18120	0.0261	18120	0	18120	0.0261	0	0.0261	0	0	NA	NA	18120	0	0	0
	Body Corp-Ltd Liability Partnership	4	3400	0	0	3400	0.0049	3400	0	3400	0.0049	0	0.0049	0	0	NA	NA	3400	0	0	0
	Hindu Undivided Family	214	330076	0	0	330076	0.4746	330076	0	330076	0.4746	0	0.4746	0	0	NA	NA	330076	0	0	0
	Unclaimed Shares	1	68406	0	0	68406	0.0984	68406	0	68406	0.0984	0	0.0984	0	0	NA	NA	68406	0	0	0
	Clearing Member	9	1372	0	0	1372	0.0020	1372	0	1372	0.0020	0	0.0020	0	0	NA	NA	1372	0	0	0
	Sub Total (B)(4)	11950	7394284	0	0	7394284	10.6326	7394284	0	7394284	10.6322	0	10.6326	0	0	NA	NA	6992827	0	0	0
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4)	11975	18236754	0	0	18236754	26.2236	18236754	0	18236754	26.2226	0	26.2236	0	0	NA	NA	17834447	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

No. of shareholders	No. of Shares	%
0		

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
322	68406

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in

(5) Sub-categorization of shares under column no.(XV) will be based on shareholding(no. of shares)under the following sub-categories:

(i) Shareholder who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative(i.e. Director)on the board of the listed entity.

(ii) Shareholder who have entered into shareholder agreement with the listed entity.

(iii) Shareholders acting as persons in concert with promoters.

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Uniphos Enterprises Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder	2	0	0	2500	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021	0	0	0		0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	2	0	0	2500	2500	0.0036	2500	0	2500	0.0036	0	0.0036	0	0	NA	NA	1700

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

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Uniphos Enterprises Limited
Table V - Significant Beneficial Owners

Sr. No.	Details of the SBO			Details of the registered owners			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation/ acquisition/ of significant beneficial interest
	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Name	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
							Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		Nerka Chemicals Pvt Ltd	India		73.2849	73.2849	73.2849	No	No	11-11-2010
2	Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff (Acting together)	United Kingdom		R Shroff Consultants Pvt Ltd	India		0.013	0.013	0.013	No	No	11-11-2010
3	Varun Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Varun Jaidev Shroff	United Kingdom		0.0019	0.0019	0.0019	No	No	01-04-2004
4	Tania Jaidev Shroff ('Acting together' with Jaidev Rajnikant Shroff and Vikram Rajnikant Shroff)	United Kingdom		Tania Jaidev Shroff	United Kingdom		0.0007	0.0007	0.0007	No	No	01-10-2006

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Uniphos Enterprises Limited

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	16.11
As on the end of previous 1st quarter	100.00	15.96
As on the end of previous 2nd quarter	100.00	15.91
As on the end of previous 3rd quarter	100.00	15.91
As on the end of previous 4th quarter	100.00	15.91

Notes :-

1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

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